IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: June 6, 2001

09/876,270

Filed: Inventor(s):

John Bunda

Title:

METHOD AND SYSTEMS

MONITORING

SECURITIES QUOTES

Examiner:

Unknown

Group/Art Unit: Atty. Dkt. No:

2164 5226-01300

CERTIFICATE OF MAILING

DATE OF DEPOSIT:

UNDER 37 C.F.R. §1.8

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INFORMATION DISCLOSURE STATEMENE CEIVED

Commissioner for Patents Washington, D.C. 20231 GROUP 3600

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (references D1-D4) be considered by the Sir: Examiner and made of record. Copies of the listed documents are enclosed for the convenience

Should any fees be required, the Commissioner is authorized to charge said fees to of the Examiner. Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5226-01300/EBM.

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Page 1 of 1 ATTY. DOCKET NO: 5226-01300 Form PTO-1449 (modified) SERIAL NO: 09/876.270 List of Patents and Publications For Applicant's Information Disclosure Statement 11 (Use several sheets if pecesson APPLICANT: John Bunda FILING DATE: June 6, 2001 GROUP: 2164 **U.S. PATENT DOCUMENTS** FILING DATE IF EXAM. REF. **DOCUMENT** DATE NAME CLASS SUB **APPROPRIATE DES** NUMBER **CLASS INITIALS** DI 6,317,728 B1 11/13/01 Kane 5,819,238 10/06/98 D2 Fernholz D3 5,640,505 06/17/97 Hearn et al. NOV 2 7 2002 FOREIGN PATENT DOCUMENTS CLASS EXAM. REF. **DOCUMENT NUMBER** DATE COUNTRY SUB **TRANSLATION** INITIALS **DES CLASS** YES/NO OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) D4 International Search Report, PCT/US02/16267, mailed August 20, 2002.

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.